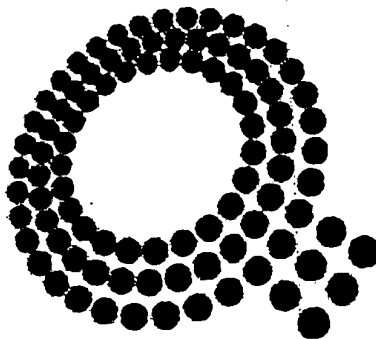


#9

**QUANTUMDOT**

26118 RESEARCH ROAD
HAYWARD, CA 94545
510-887-8775
510-783-9729 (FAX)

Fax

To: Ms. Stokes
From: Ken Barovsky
Extension 4104
Fax: (703) 308-7751
(703) 308-1202
Pages: 3
Date: March 7, 2002
Re: 09/893,371
CC: Susan Lamont
☐ Urgent ☐ For Review ☐ Please Comment ☐ Please Reply ☐ Please Recycle

● Comments:

As requested, accompanying this cover sheet are copies of the following:

Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825; and

Return receipt postcard indicated that said Statement was received by the U.S. PTO.

Respectfully submitted,


Kenneth Barovsky

Reg. No. 36,442

ATTORNEY DOCKET 30151/92399 DATE 11/23/2001

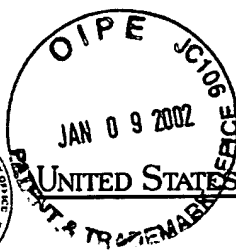
Response to Notice of Missing Parts; Transmittal;
copy of PTO Notice; Declaration and Power of Attorney
(two); Petition for Extension of Time; Assignment and
Recordation Cover Sheet; Sequence Listing (paper & disk);
Statement to Support....; Credit Card Payment Form

PAPER: _____

INVENTOR: de Lanerolle et al.SERIAL NO.: 09/893,371FILING DATE: June 27, 2001

RECEIVED BY THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/893,371	06/27/2001	Primal de Lanerolle	30151/92399

CONFIRMATION NO. 1678

FORMALITIES LETTER



OC000000006465180

23644
BARNES & THORNBURG
2600 CHASE PLAZA
10 LASALLE STREET
CHICAGO, IL 60603

Date Mailed: 08/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/16/2002 SHINASS1 00000007 09893371

01 FC:201	370.00 OP
02 FC:202	42.00 OP
03 FC:205	65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$40.
 - \$40 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 460.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention

is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Scotcher
\$ 10
Box - Seq
✓

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on Nov. 23, 2001.



11/23/01
Date

Susan Lamont
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

de Lanerolle et al.

Confirmation No.: 1678

Serial No.: 09/893,371

Group Art Unit: Unassigned

Filing Date: June 27, 2001

Examiner: Unassigned

Title: NUCLEAR MYOSIN I β WITH A 16 AMINO ACID N-TERMINAL
EXTENSION

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Box Missing Part
Washington, D.C. 20231

Sir:

This is in response to the Notice to File Missing Parts dated August 28, 2001. Transmitted herewith for filing are the following documents: a copy of the Notice to File Missing Parts; Combined Declaration and Power of Attorney signed by the inventors; a Petition for Extension of Time; an Assignment and Recordation Cover Sheet; substitute drawings; a Sequence Listing in paper and computer-readable form; and a Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825. Applicants claim small entity status. Also enclosed is a Credit Card Payment Form in the amount of \$572 (surcharge, \$65; statutory basic filing fee, \$370; additional claim fees, \$42; extension of time fee, \$55; assignment recordation fee, \$40) to cover the fees required.

Respectfully submitted,

Date: 23 Nov 01

By: Kenneth Barovsky
Registration No. 36,442

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Hayward, CA 94545
Telephone: (510) 887-8775
Fax: (510) 783-9729